Under the Paperwork Reduction Act of 1995,



Art Unit: Not yet known

Examiner: Not yet known

PTO/SB/61 (10-05) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE respond to a collection of information unless it displays a valid OMB control number.

Docket Number (Optional)

D4700-00394

PETITION FOR REVIVAL OF AN AP PLICATION FOR PATENT ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)

First Named Inventor: Andreas Fath Application Number: 10/534,560

Filed: May 11, 2005

Title: COATING METHOD

Attention: Office of Petitions **Mail Stop Petition** Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Legal Staff International Division

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NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United Sates Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

		NOTE: A (1) Petit (2) Repl (3) Tern	NT HEREBY Pl grantable petition ion fee. y and/or issue f inal disclaimer fore June 8, 19 quate showing o	fee. with o	quires the food	ollowing ite fee-require esign appli	ems: d for all cations	ਤਿਸ਼ਸ਼ਿ ਹਿਟ ਸ ਹੋਰੇ ਸ 64 ਸ I utility ar ; and	L#1631 G#1633 U#1642	N. Š	1 98838881 1980. 99 DA 1980. 99 DA 1980. 99 DA 158544684	9416	79	4	053456 (
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[Page 1 of 3]

[Page 1 of 3]
This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/61 (10-05)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED **UNAVOIDABLY UNDER 37 CFR 1.137(a)** 3. Terminal disclaimer with disclaimer fee Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required. A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ _ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63). 4. An adequate showing of the cause of the delay, and that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition under 37 CFR 1.137(a) was unavoidable, is enclosed. **WARNING:** Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. Signature 29,643 Stephan P. Gribok Typed or printed name Registration Number, if applicable **Duane Morris LLP** 215-979-1283 Telephone Number 30 South 17th Street, Philadelphia, PA 19103-4196 RECEIVED Address Enclosure Fee Payment JAN **3 0**|2006 Reply OFFICE OF PITITIONS Terminal Disclaimer Form Additional sheets containing statements establishing unavoidable delay Please charge Deposit Account No. 04-1679 in the amount of \$500 for the Petition fee. **CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))** I hereby certify that this correspondence is being: deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300. January 16, 2006 Date Signature

Stephan P. Gribok Typed or printed name of person signing certificate



PTO/SB/61 (10-05)
Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED LINAVOIDADI VIINDED 27 CED 1 127/a)

	UNAVOIDABLI UNDER 37 CFR I	. 13 <i>1</i> (a)
NOTE:	The following showing of the cause of unavoidable delay must be party who is presenting statements concerning the cause of delay.	signed by all applicants or by any other
	Isepher Goll	January 16, 2006
-	Signature	Date
	Stephan P. Gribok	29,643
	Typed or printed name	Registration Number, if applicable
	(In the space provided below, please explain in detail the reasons for	or the delay in filing a proper reply.)
	SEE ATTACHED	
	(Please attach additional sheets if additional spa	ace is needed.)
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[Page 3 of 3]

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Appln. of:

Andreas FATH

Examiner:

Not yet assigned

Serial No.:

10/534,560

Group Art Unit: Not yet known

Filed:

May 11, 2005

Atty Docket No.: D4700-00394

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For:

COATING METHOD

JAN **3 0** 2006

OFFICE OF PETITIONS

REQUEST TO RETRACT ERRONEOUS HOLDING OF ABANDONMENT, AND IN THE ALTERNATIVE, STATEMENT IN SUPPORT OF PETITION TO REVIVE (DELAY UNAVOIDABLE)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING,
37 C.F.R. §1.8(a)

I certify that this correspondence and the enclosures mentioned therein are being deposited by First Class U.S. Mail with sufficient postage on the date shown below, addressed to Commissioner for Patents,
P.O. Boy 150, Alexandry, VA 22313-1450.

Stephan P. Gribok, Reg. No. 29,643

The undersigned attorney of record presents this statement in support of a request to retract an erroneous holding of abandonment, or in the alternative, a petition to revive the application in US national phase on grounds that abandonment was unavoidable. The application was held abandoned for nonpayment of the national phase filing fees by the deadline of 30 months. The PTO's deposit account statement, however, shows that sufficient funds in fact were available in the deposit account to be charged for the national phase filing fees. Therefore, the holding of abandonment is erroneous and should be withdrawn.

Background

The patent application was duly filed in the US national phase by Express Mail on May 11, 2005 as evidenced by attached customer copy of the Express Mailing Label. The filed documents included an authorization to charge Deposit Account 04-1679 in the amount of \$1,750.00 for the national phase filing fees (copy of national phase Transmittal Letter is enclosed).



On November 2, 2005, a Notice of Abandonment was received, alleging failure to pay the U.S. Basic National Fee by 30 months, namely \$1,750.00. According to the Notice of Abandonment, the charge to the deposit account failed by reason of insufficient funds in the deposit account to meet the amount of the charge.

The undersigned's office has investigated, including by several phone conversations with Mr. Harry Kim of PCT Legal (tel. 571-272-3285). Mr. Kim reported on January 12, 2006 that the unsuccessful attempt to draw the amount of \$1,750.00 against deposit account 04-1679 as authorized on May 11 occurred on May 16, 2005.

Request for Reconsideration of Finding of Abandonment

Attached is a copy of the official statement for Deposit Account 04-1679, downloaded from https://ramps.uspto.gov/eram, for the period of May 2, 2005 through May 16, 2005, showing the balance following every executed transaction involving a charge or credit to Deposit Account 04-1679. This statement shows that at no time from May 11, 2005 through.may.nd. 16, 2005, was the reported balance in deposit account 04-1679 insufficient to cover the \$1,750.00 amount of the charge authorization for the U.S. Basic National Fee referenced herein.

Therefore, the finding of abandonment is an error on the USPTO's part because the stated grounds for alleged abandonment, namely insufficient funds to meet the charge authorization for the filing fee, are mistaken.

Applicant requests that the finding of abandonment be withdrawn and that the charge authorization of May 11, 2005 be executed in accordance with its terms.

Unavoidable Nature of Delay

Although the holding of abandonment is clearly erroneous as shown by the deposit account statement, the undersigned petitions in the alternative that if the abandonment is maintained, the application should be revived as a pending application on grounds that the delay in prosecution was unavoidable. The required petition fee (\$500.00) is submitted herewith by charge authorization. The charge authorization in the amount of \$1,750.00 for the basic national fee remains of record to be used to



charge that amount to deposit account 04-1679 together with the \$500.00 petition fee if the abandonment is maintained over the foregoing request for reconsideration.

Applicant petitions to revive on grounds of <u>unavoidable</u> delay in payment, because applicant and its representatives have no control over how and when the PTO chooses to resolve the balance in deposit accounts. Applicant in fact took such actions as were necessary to maintain the necessary balance in the deposit account. The account actually did have a balance that was sufficient at the time the deposit account charge authorization was reportedly executed. Executed charges are reported by the PTO to applicant's representatives by the deposit account statement made available at https://ramps.uspto.gov/eram. That statement shows that more than sufficient funds were available for the charge in question. Applicant's representatives did everything that should have been necessary to pay the national phase fees.

Applicant's representatives endeavor to keep a balance in the deposit account that is sufficient to meet charge authorizations previously submitted to the PTO. Reliance is placed on the PTO deposit account statement to verify the sufficiency of the balance. If the PTO should operate on an unpublicized procedure different from the succession of charges and payments reflected by deposit account statements, then applicant's representatives are denied information necessary to ensure that sufficient funds are on deposit.

In the present case, the deposit account statement reflects a positive balance in an amount that was more than sufficient over the period from submission of the application in the national phase (May 11) until the alleged unsuccessful attempt to charge the sum (May 16), and also thereafter. Applicant's representatives not only tried to keep a sufficient balance in the deposit account, but <u>did</u> keep a sufficient balance, to cover the charge in question. The application should not have been held abandoned. If it was abandoned, which is doubtful, the delay in payment was unavoidable.

Applicant's representatives replenished the deposit account on a regular basis over the period in question. Replenishments to the deposit account appear to have been made on each of May 11, the Express Mail date for national phase entry; May 12;

May 13; and also May, 16, the date of the alleged shortfall; as well as various other dates. This activity shows that the balance was being maintained actively.

On May 16, the starting balance in the deposit account was \$4,879.62, which was more than sufficient to cover the \$1,750.00 charge in question. The low point in all transactions during the day was \$2,128.62, again more than sufficient to cover the \$1,750.00 charge in question. The next transaction showing is an electronic fund transfer replenishment in the amount of \$8,110.00, apparently made early in the day (see deposit account statement at transaction number 32 of at least 1,077 posted that day). Over the entire day, the deposit account charges totaled \$9,029.00, which was more than the \$8,110.00 replenishment on that day. Nevertheless, the ending balance for the day was still \$3,960.62, and still was more than sufficient to cover the \$1,750.00 charge in question. There is no explanation for why the application should have been held abandoned for inability to charge the official fee.

Under these circumstances, absent keystroke error, the only possible explanation for inability of the PTO to find a sufficient balance in the deposit account is that somehow the order of transactions was different from the order presented by the deposit account statement. Perhaps the PTO refrained from recognizing the replenishment payment while at the same time amassing a group of deposit account charges that were tried against the balance as a group, before the previously received replenishment payment was credited in some way.

If the balance in the deposit account did not reflect applicant's payments until some time later than indicated on the deposit account statement, then applicant's representatives were improperly denied timely credit for electronic payments that had in fact been made. It is an abuse of discretion for the USPTO to arbitrarily decide when to credit payments made and when to extract money against payments, if the result is to cause the PTO to determine that an application has gone abandoned for lack of a fee payment, even though the necessary payment is in hand at the PTO.

Based on the foregoing considerations and relying on the official deposit account statement from the USPTO, the subject application was held to be abandoned by error of the PTO. Retraction of the notice of abandonment is requested.

Attorney Docket No.: D4700-00394 Statement Establishing Unavoidable Delay

In the alternative, applicant's undersigned representative responsible for making the fee payment submits that the delay in payment was unavoidable and requests revival on such grounds in view of the payment of the additional \$500.00 petition fee and the concurrent re-submission of the official national phase filing fee of \$1,750.00.

Respectfully submitted,

Date: ┌∜

Atty Docket No.: D4700-00394

Stephan P. Gribok

Registration No. 29,643

DUANE MORRIS LLP

30 South 17th Street

Philadelphia, PA 19103-4196

Telephone: 215-979-1283 Facsimile: 215-979-1020





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Deposit Account Statement

Requested Statement Month:

May 2005 041679

Deposit Account Number: Name:

DUANE, MORRIS & HECKSCHER

Attention:

CHRIS LAMBRIODES

Address:

ONE LIBERTY PLACE

City:

PHILADELPHIA

State:

PA

Zip:

19103-7396

Country:

UNITED STATES OF AMERICA

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05/13 369	10534304	D4695-00133	1642	\$400.00	\$6,329.62



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05/17 118 11128453

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\$5,594.62

\$5,194.62



Page 1 of 1

14700-394



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dex 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/534,560 Andreas Fath D700-00394

INTERNATIONAL APPLICATION NO.

PCT/EP03/12697

NOV - 7 2005 8933 **DUANE MORRIS, LLP** IP DEPARTMENT 30 SOUTH 17TH STREET

PRIORITY DATE I.A. FILING DATE 11/13/2003

CONFIRMATION NO. 7442

371 ABANDONMENT/TERMINATION LETTER

OC000000017359154

Date Mailed: 11/02/2005

PHILADELPHIA, PA 19103-4196

NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495) has made the following determination:

Applicant has failed to provide the full U.S. Basic National Fee by 30 months (37 CFR 1.495(b)(2)).

Therefore, the above identified application failed to meet the requirements of 35 U.S.C. 371 and 37 CFR 1.495, and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/909 (371 Abandonment Notice)



PTO-1390 (Rev. 02-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE and to a collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to re

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER D700-00394

	NCERNING A SUBMISSION				
	ATIONAL APPLICATION NO. /EP2003/012697	INTERNATIONAL FILING DATE 13 November 2003 (13.11.03)	PRIORITY DATE CLAIMED 14 November 2002 (14.11.02)		
TITLE OF	F INVENTION COATING	METHOD			
APPLICA	ANT(S) FOR DO/EO/US	WETHOU			
Applicar	Andreas Fath nt herewith submits to the United St	ates Designated/Elected Office (DO/E	O/US) the following items and other information:		
1. 🗸		nceming a submission under 35 U.S.C. 37			
2.		ubmission of items concerning a submission			
3. 🗸	This is an express request to begin nati (5), (6), (9) and (21) indicated below.	onal examination procedures (35 U.S.C. 3	71(f)). The submission must include items		
4. 🗸	The US has been elected (Article 31).				
5. 	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))			
	a. is attached hereto (required	only if not communicated by the Internation	onal Bureau).		
	b. has been communicated by	the International Bureau.			
	c. is not required, as the applic	cation was filed in the United States Receiv	ving Office (RO/US).		
6. 🗹	An English language translation of the	e International Application as filed (35 U.S.	C. 371(c)(2)).		
	a. is attached hereto.				
	b. has been previously submit	tted under 35 U.S.C. 154(d)(4).			
7.	Amendments to the claims of the Inte	mational Application under PCT Article 19	(35 U.S.C. 371(c)(3))		
	a. are attached hereto (require	red only if not communicated by the Interna	ational Bureau).		
	b. have been communicated	by the International Bureau.			
	c. have not been made; how	ever, the time limit for making such amend	ments has NOT expired.		
	d. have not been made and v	vill not be made.			
8.	An English language translation of th	e amendments to the claims under PCT Ar	rticle 19 (35 U.S.C. 371(c)(3)).		
9. 🗹	An oath or declaration of the inventor				
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary E	Examination Report under PCT		
items	s 11 to 20 below concern document(s	or information included:			
11.	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.			
12.	An assignment document for recording	g. A separate cover sheet in compliance w	rith 37 CFR 3.28 and 3.31 is included RECEIVED		
13. 🗹	A preliminary amendment.		112021420		
14.	An Application Data Sheet under 37 C	CFR 1.76.	JAN 3 0 2006		
15.	A substitute specification.		OFFICE OF PETITION		
16.	A power of attorney and/or change of	address letter.	OTTIOL OF TETITION		
17.	A computer-readable form of the sequ	ence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821- 1.825.		
18.		ational Application under 35 U.S.C. 154(d)			
19.	A second copy of the English languag	e translation of the international application	n under 35 U.S.C. 154(d)(4).		
20. 🗸	Other items or information: See Att	ached Sheet	İ		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)			.5) INTERNATIONAL A	INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
Not yet known			PCT/EP2003/0126	PCT/EP2003/012697		D4700-00394	
The folia	owing fees have I	oeen submitted			CALCULATIONS	PTO USE ONLY	
21. 📝 Basic national fee\$300					\$ 300		
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200		
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. \$100 International Search Report prepared and provided to the Office \$400 All other situations. \$500					\$ 400		
TOTAL OF 21, 22 and 23 =					\$ 900		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets		ch additional 50 or fraction up to a whole number)	RATE			
22 - 100 =	0 /50 =			x \$250	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$		
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$		
Total claims		37 - 20 =	17	x \$ 50	\$ 850		
Independent clain	ıs	3 -3=	0	x \$200	\$	1	
MULTIPLE DEPE	NDENT CLAIM(S	3) (if applicable)		+ \$360	\$	1	
			TOTAL OF ABOVE		\$ 1,750		
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						-	
SUBTOTAL =					\$ 1,750		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$		
TOTAL NATIONAL FEE =					\$ 1,750		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$		
TOTAL FEES ENCLOSED =					\$ 1,750		
					Amount to be refunded:	\$	
					Amount to be charged:	\$ 1,750	
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 04-1679 in the amount of \$ 1,750 to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1679. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORR		a.l.					
Stephan P. Gribok					- Am c		
Duane Morris LLP Stephan P. C					Calhale		
One Liberty Place NAME					GIDUK		
Philadelphia, PA 19102 29,643							
REGISTRATIO					ON NUMBER		

20. Other items or information (cont'd. from Page 1 of Transmittal Letter)

Form PCT/IB/304 Notification Concerning Submission or Transmittal of Priority Document

PCT Request, as filed

International Search Report as published in German-text under International Publication No. WO 2004/044071 A3 on 25 November 2004

English translation of International Search Report